



4/14/04

Docket No. 7156/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Martin R. Elliott, Michael R. Rice, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Enghardt
Title: SUBSTRATE CARRIER HAVING DOOR LATCHING AND SUBSTRATE CLAMPING MECHANISMS
Serial No.: 10/650,311
Filed: August 28, 2003
Group Art Unit: 3652

Transmitted herewith is:

☒ PTO Form 1449 and Information Disclosure Statement with five cited references.

☒ Return Postcards

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:

PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O. BOX 450A
Santa Clara, CA. 95052

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EL975550876US

Date of Deposit: 4/13/04

Signature: [Signature]

Respectfully submitted,

[Signature]
Brian M. Dugan
Registration No. 41,720
(914) 332-9081



Express Mail Label No. EL975550876US

PATENTS
7156/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Martin R. Elliott, Michael R. Rice, Robert
B. Lowrance, Jeffrey C. Hudgens and Eric A.
Englhardt

Serial No. : 10/650,311

Filed : August 28, 2003

For : SUBSTRATE CARRIER HAVING DOOR LATCHING AND
SUBSTRATE CLAMPING MECHANISMS

Group Art Unit : 3652

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 5,048,164, Harima

U.S. Patent No. 5,603,777, Ohashi

U.S. Patent No. 6,439,822 B1, Kimura et al.

Foreign Art Reference No. JP 10-256346 (JP)

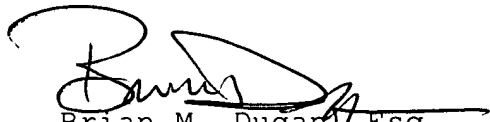
United States Patent Application Publication No. US

2002/0090282 A1, Pub. Date 7/11/2002.

These references are also listed on the accompanying
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", is written over a horizontal line.

Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914) 332-9081

Dated:

4/13/04
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office

Docket No.:
7156/SYNX/JBSerial No.:
10/650,311

 OFFICE OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicants:
Martin R. Elliott, et alFiling Date:
August 28, 2003Group:
3652

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	US-1	5,048,164	09/17/91	Harima			
	US-2	5,603,777	02/18/97	Ohashi			
	US-3	6,439,822 B1	08/27/02	Kimura et al.			
	US-4						
	US-5						
	US-6						
	US-7						
	US-8						
	US-9						
	US-10						
	US-11						

Foreign Patent Documents

Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1	JP 10-256346	09/25/98	JP			Abstract only	
	F-2							
	F-3							
	F-4							
	F-5							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	OT-1	United States Patent Application Publication No. US 2002/0090282 A1, Pub. Date 7/11/2002
	OT-2	
	OT-3	

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.